

# Root Separation Bounds

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# Problem

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- $\alpha_i$  are the complex roots of  $f$ .

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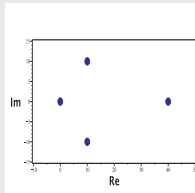
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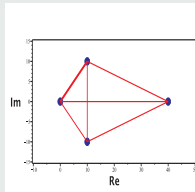
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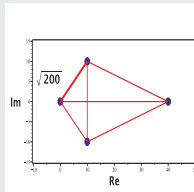
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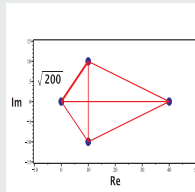
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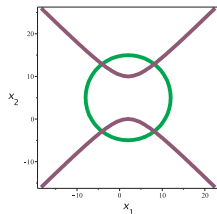
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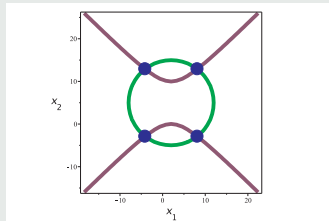
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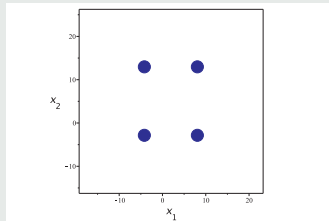
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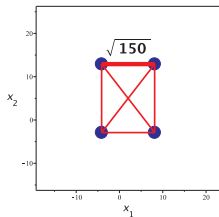
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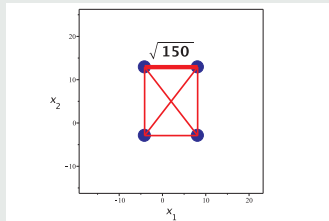
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where

$$P(d_1, \dots, d_n, n) = \dots, \quad D = \prod_i d_i, \quad M_i = \prod_{j \neq i} d_j$$

$f_0(u)$  = a specially chosen separating element.

$T_{f_0}$  = the resultant of  $(f_0, F)$  which eliminates  $x_1, \dots, x_n$ .

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Sign evaluation of algebraic expressions

Root isolation

Algebraic number theory

Topological analysis of curves

Quantifier elimination

...

# Previous works

## Bounds

- 1964 Mahler
- 1973 Mignotte (also, 1988,1995,2000..)
- 1974 Collins (also 2001), Horowitz
- 1979 Rump
- 2000 Mehlorn, Schirra
- 2004 Bughead, Mignotte, Sasaki
- 2006 Schonhage, Tsigaridas, Emiris
- 2008 Muresan, Batra  
Burnikel, Fleisher, Mehlhorn, Schirra

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<b>Bounds</b>	1964	Mahler
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	2006	Schonhage, Tsigaridas, Emiris
	2008	Muresan, Batra Burnikel, Fleisher, Mehlhorn, Schirra
<b>Applications</b>	2006	Emiris, Tsigaridas
	2007	Du, Sharma, Yap
	2010	Emiris, Mourrain, Tsigaridas
	2011	Strzebonksi, Tsigaridas
	2012	Tsigaridas, Burr
	2014	Burr

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“**Efficiently** computable”  $\text{Time}(B) \approx \text{Time}(B_{Prev})$

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- $H$  can be computed in  $\mathcal{O}(n \cdot m + d \log(d))$  algebraic operations, where

$$m = \# \text{ monomials of } F, \quad d = \sum_{i=1}^n d_i$$

# Comparisons

# Comparison - Magnitude: Univariate

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Bounds

**Mahler-Mignotte**

**New**

# Comparison - Magnitude: Univariate

Bounds

**Mahler-Mignotte**

**New**

$$B_{MM} = \frac{\sqrt{3|dis(f)|}}{d^{d/2+1} \|f\|_2^{d-1}}$$

# Comparison - Magnitude: Univariate

## Bounds

**Mahler-Mignotte**

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$$B_{New} = \frac{\sqrt{3|dis(f)|}}{d^{d/2+1} H^{d-1}}$$

# Comparison - Magnitude: Univariate

## Bounds

### Mahler-Mignotte

$$B_{MM} = \frac{\sqrt{3|dis(f)|}}{d^{d/2+1} \|f\|_2^{d-1}}$$

$$\|f\|_2 = \sqrt{\sum_i |a_i|^2}$$

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$$B_{New} = \frac{\sqrt{3|dis(f)|}}{d^{d/2+1} H^{d-1}}$$

$$H = \sqrt{\sum_i \zeta^{h(i)} |a_i|^2}$$

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## Example

$$f = x^4 - 60x^3 + 1000x^2 - 8000x$$

**Mahler-Mignotte**

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**Mahler-Mignotte**

**New**

$$B_{MM} = 8.26 \times 10^{-6}$$

# Comparison - Magnitude: Univariate

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**Mahler-Mignotte**

$$B_{MM} = 8.26 \times 10^{-6}$$

**New**

$$B_{New} = 2.02 \times 10^{-2}$$

# Comparison - Magnitude: Univariate

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$$B_{New} = \frac{\sqrt{3|dis(f)|}}{d^{d/2+1} H^{d-1}}$$

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## Example

$$f = x^4 - 60x^3 + 1000x^2 - 8000x$$

**Mahler-Mignotte**

$$B_{MM} = 8.26 \times 10^{-6}$$

$$\|f\|_2 = 8.06 \times 10^3$$

**New**

$$B_{New} = 2.02 \times 10^{-2}$$

# Comparison - Magnitude: Univariate

## Bounds

**Mahler-Mignotte**

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$$B_{MM} = \frac{\sqrt{3|dis(f)|}}{d^{d/2+1} \|f\|_2^{d-1}}$$

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$$\|f\|_2 = \sqrt{\sum_i |a_i|^2}$$

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## Example

$$f = x^4 - 60x^3 + 1000x^2 - 8000x$$

**Mahler-Mignotte**

**New**

$$B_{MM} = 8.26 \times 10^{-6}$$

$$B_{New} = 2.02 \times 10^{-2}$$

$$\|f\|_2 = 8.06 \times 10^3$$

$$H = 5.98 \times 10^2$$

# Comparison - Magnitude: Univariate

# Comparison - Magnitude: Univariate

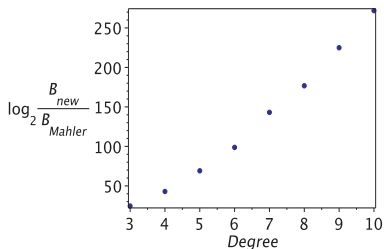
$d = \text{degree}$

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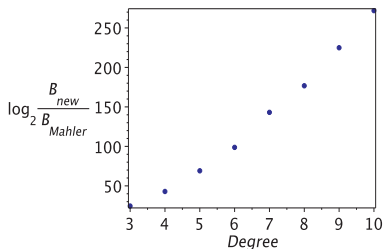


Fix  $B\text{-height} = 2^7$ . Change  $d$ .

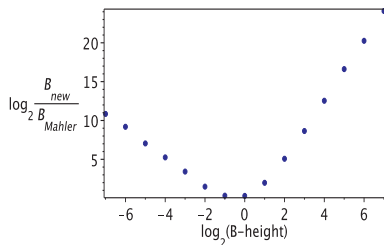
# Comparison - Magnitude: Univariate

$d = \text{degree}$

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Fix  $B\text{-height} = 2^7$ . Change  $d$ .



Fix  $d = 3$ . Change  $B\text{-height}$ .

# Comparison - Behavior Under Scaling: Univariate

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The new bound scales covariantly.

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Example

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# Comparison - Behavior Under Scaling: Univariate

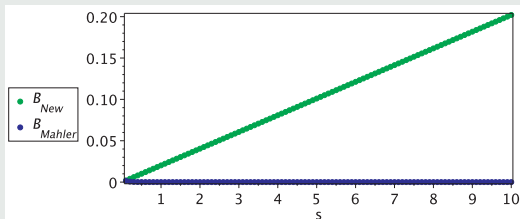
The new bound scales covariantly.

Example

$$f = x^4 - 60x^3 + 1000x^2 - 8000x$$

$$B_{New}(f(x/s)) \quad [-]$$

$$B_{MM}(f(x/s)) \quad [-]$$



# Comparison - Magnitude: Multivariate

# Comparison - Magnitude: Multivariate

Bounds

**Davenport-Mahler-Mignotte**

**New**

# Comparison - Magnitude: Multivariate

## Bounds

Davenport-Mahler-Mignotte

New

$$B_{DMM} = \frac{\sqrt{|dis(T_{f_0})|}}{\left(\prod_{i=1}^n \|f_i\|_{\infty}^{M_i}\right)^{D-1}} P$$

# Comparison - Magnitude: Multivariate

## Bounds

**Davenport-Mahler-Mignotte**

$$B_{DMM} = \frac{\sqrt{|dis(T_{f_0})|}}{\left(\prod_{i=1}^n \|f_i\|_\infty^{M_i}\right)^{D-1}} P$$

**New**

$$B_{New} = \frac{\sqrt{|dis(T_{f_0})|}}{H^{D-1}} P$$

# Comparison - Magnitude: Multivariate

## Bounds

**Davenport-Mahler-Mignotte**

**New**

$$B_{DMM} = \frac{\sqrt{|dis(T_{f_0})|}}{\left(\prod_{i=1}^n \|f_i\|_{\infty}^{M_i}\right)^{D-1}} P$$

$$B_{New} = \frac{\sqrt{|dis(T_{f_0})|}}{H^{D-1}} P$$

## Example

$$f_1 = (x_1 - 2)^2 + (x_2 - 5)^2 - 100$$

$$f_2 = (x_2 - 5)^2 - (x_1 - 2)^2 - 25$$

**Davenport-Mahler-Mignotte**

**New**

# Comparison - Magnitude: Multivariate

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**Davenport-Mahler-Mignotte**

**New**

$$B_{DMM} = 2.12 \times 10^{-37}$$

# Comparison - Magnitude: Multivariate

## Bounds

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**Davenport-Mahler-Mignotte**

**New**

$$B_{DMM} = 2.12 \times 10^{-37}$$

$$B_{New} = 2.72 \times 10^{-25}$$

# Comparison - Magnitude: Multivariate

## Bounds

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$$\|f_1\|_{\infty}^2 \|f_2\|_{\infty}^2 = 5.04 \times 10^5$$

# Comparison - Magnitude: Multivariate

## Bounds

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## Example

$$f_1 = (x_1 - 2)^2 + (x_2 - 5)^2 - 100$$

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**Davenport-Mahler-Mignotte**

**New**

$$B_{DMM} = 2.12 \times 10^{-37}$$

$$B_{New} = 2.72 \times 10^{-25}$$

$$\|f_1\|_{\infty}^2 \|f_2\|_{\infty}^2 = 5.04 \times 10^5$$

$$H = 4.64 \times 10^1$$

# Comparison - Magnitude: Multivariate

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The improvement of the new bound is related to the size of the roots.

# Comparison - Magnitude: Multivariate

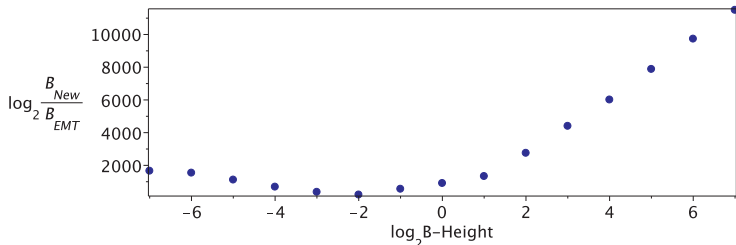
The improvement of the new bound is related to the size of the roots. Let

$$\|f\|_B = \max_{e \in \text{Support}(\text{trailing polynomial of } f)} \frac{|a_e|}{\binom{d}{e}^{1/|e|}}$$

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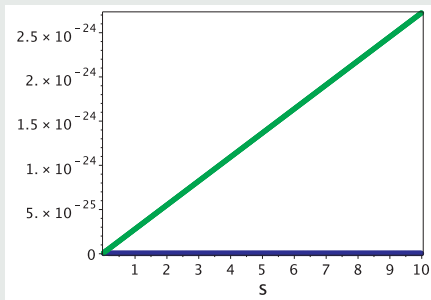
Example

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$$B_{New}(F(x_1/s, x_2/s)) \quad [-]$$

$$B_{DMM}(F(x_1/s, x_2/s)) \quad [-]$$



# Derivation - Sketch

# Derivation: Univariate

# Derivation: Univariate

## Main Observation

For all  $s > 0$

$$\frac{B(f(x/s))}{s}$$

is a root separation bound.

# Derivation: Univariate

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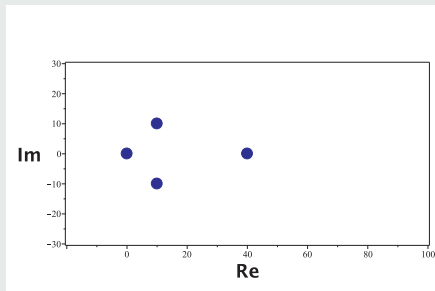
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## Example

Roots of  $f$

[•]



# Derivation: Univariate

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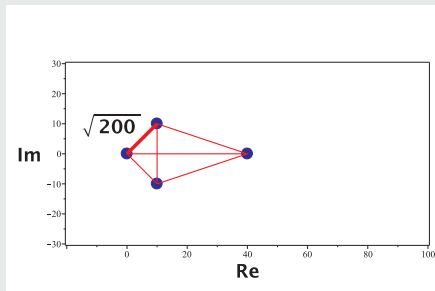
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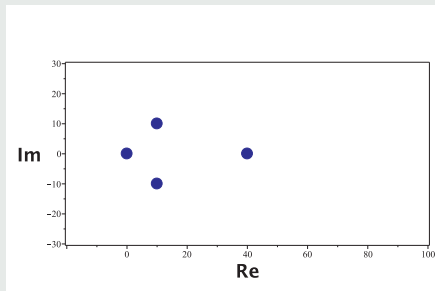
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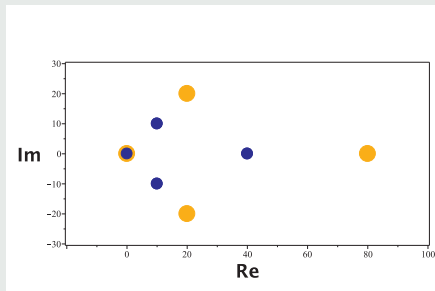
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Roots of  $f$        $[\bullet]$

Roots of  $f(x/2)$        $[\circ]$



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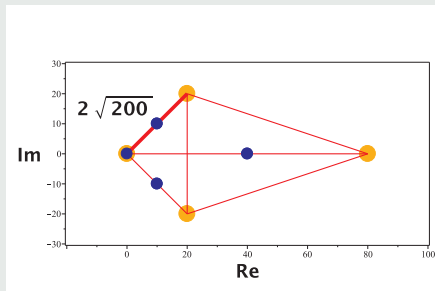
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## Example

Roots of  $f$        $[\bullet]$

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$B^* : f \mapsto \max_s \frac{B(f(x/s))}{s}$

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$$B = B_M$$

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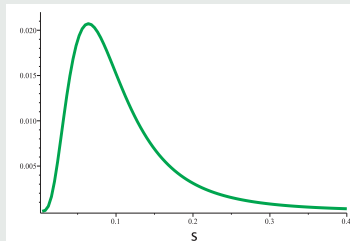
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$$\frac{B_M(s)}{s} \quad [-]$$



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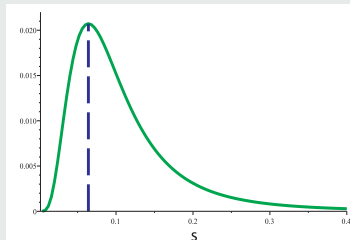
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$$\frac{B_M(s)}{s} \quad \begin{matrix} [-] \\ [-] \end{matrix}$$
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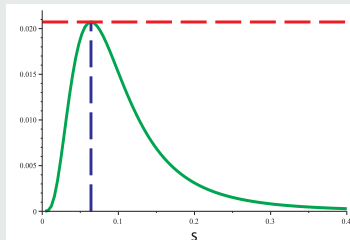
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$$s^* \quad [-]$$

$$B^* \quad [-]$$



# Derivation: Univariate

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We can reduce

$$\frac{d}{ds} \frac{B_M(f(x/s))}{s} = 0$$

⋮

⇕

⋮

$$Q(s) = 0$$

# Derivation: Univariate

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$$\frac{d}{ds} \frac{B_M(f(x/s))}{s} = 0$$

⋮

⇕

⋮

$$Q(s) = 0$$

$Q(s)$  is a polynomial with single sign change in the coefficients

Example

$$Q(s) = \frac{14}{3} |a_0|^2 s^8 + \frac{8}{3} |a_1|^2 s^6 + \frac{2}{3} |a_2|^2 s^4 - \frac{4}{3} |a_3|^2 s^2 - \frac{10}{3} |a_4|^2$$

# Derivation: Univariate

Theorem (Hong 1998)

Let  $f = \sum_{i=0}^m c_i x^{e_i}$ . Let

$$\mathcal{H}(f) = \max_{\substack{q \\ c_q < 0}} \min_{\substack{p \\ c_p > 0, e_p > e_q}} \left( \frac{|c_q|}{|c_p|} \right)^{\frac{1}{e_p - e_q}}$$

Then  $2\mathcal{H}(f)$  is an upper bound for the positive real roots of  $f$ .

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Theorem (Herman, Hong, 2014)

Let  $f$  be with a single sign change and let  $x^*$  be the unique positive root of  $f$ . Then

$$1/2 \mathcal{H}(f) \leq x^* \leq 2 \mathcal{H}(f)$$

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Theorem (Melhorn, Ray, JSC 2010)

$\mathcal{H}(f)$  can be computed in linear time in  $m$ .

# Derivation: Univariate

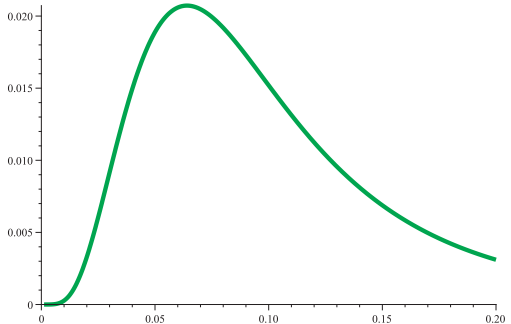
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$$\frac{B_M(s)}{s}$$

[-]



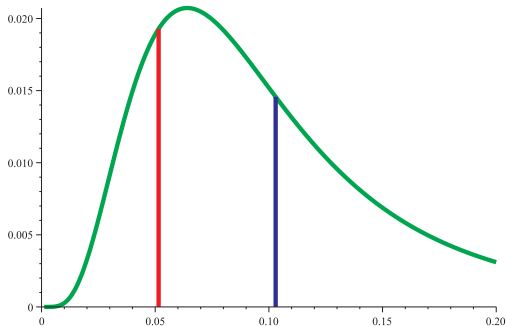
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$$\frac{B_M(s)}{s} \quad [-]$$

$$1/2\mathcal{H}(Q) \quad [-]$$

$$2\mathcal{H}(Q) \quad [-]$$



# Derivation: Univariate

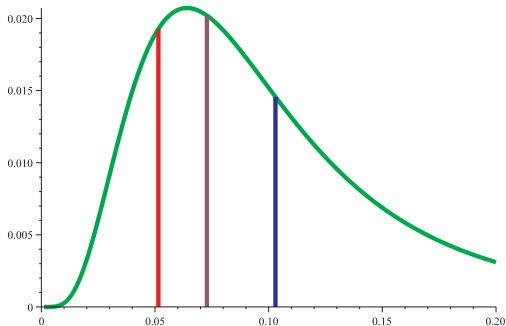
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$$2\mathcal{H}(Q) \quad [-]$$

$$\tilde{s} = \mathcal{H}(Q) \quad [-]$$



# Derivation: Univariate

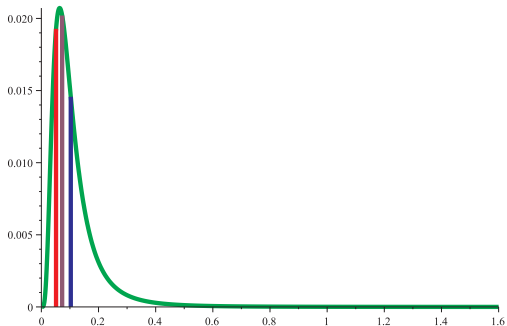
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$$1/2\mathcal{H}(Q) \quad [-]$$

$$2\mathcal{H}(Q) \quad [-]$$

$$\tilde{s} = \mathcal{H}(Q) \quad [-]$$



# Derivation: Univariate

- For  $B_{New} = \frac{B_M(f(x/\tilde{s}))}{\tilde{s}}$

# Derivation: Univariate

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- We still need to show that  $B_{new}$  is covariant under scaling.

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$$\tilde{s}(f(x/s)) = \max_q \min_p \left( \frac{\sqrt{|h(p)|} |a_q| / s^q}{\sqrt{|h(q)|} |a_p| / s^p} \right)^{\frac{1}{q-p}}$$

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Hence

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We have proved the covariance.

# Derivation: Multivariate

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## Main Observation

Let  $s > 0$  and

$$B' : F \mapsto \frac{B(F^{(s)})}{s}$$

where

$$F^{(s)} = (f_1^{(s)}, \dots, f_n^{(s)}), \quad f^{(s)} = s^{\deg(f)} f(x/s)$$

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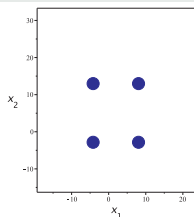
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## Example

$$f_1 = (x_1 - 2)^2 + (x_2 - 5)^2 - 100$$

$$f_2 = (x_2 - 5)^2 - (x_1 - 2)^2 - 25$$

Roots of  $F$       $[\bullet]$



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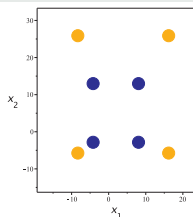
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Roots of  $F^{(2)}$       $\circ$



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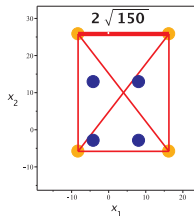
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# Derivation: Multivariate

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## Proposition

Let

$$B^* : F \mapsto \max_{s>0} \frac{B(F^{(s)})}{s}$$

Then

- $B^*$  is a root separation bound.
- $B^*$  scales covariantly
- $B^*(F) \geq B(F)$

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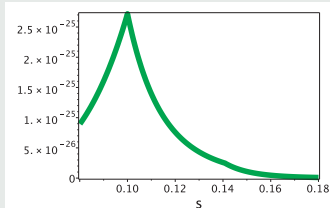
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$$\frac{B_{DMM}(F^{(s)})}{s} \quad [\bullet]$$



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Example

$$\begin{aligned} f_1 &= (x_1 - 2)^2 + (x_2 - 5)^2 - 100 \\ &= x_1^2 + x_2^2 - 4x_1 - 10x_2 - 71 \end{aligned}$$

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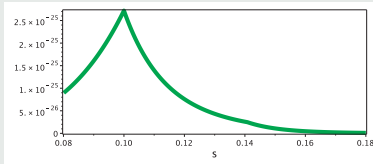
We have

$$\arg \max_{s>0} \frac{B_{DMM}(F(s))}{s} = \dots = \arg \min_{s>0} R(s)$$

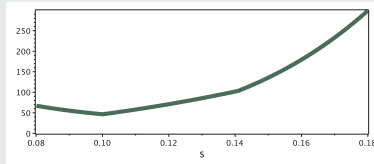
where

$$R(s) = \frac{\prod_{i=1}^n \|f_i^{(s)}\|_{\infty}^{M_i}}{s^{\frac{D}{2} - \frac{1}{D-1}}}$$

## Example



$$\frac{B_{DMM}(F(s))}{s}$$



$$R(s) = \frac{\|f_1^{(s)}\|^2 \cdot \|f_2^{(s)}\|^2}{s^{\frac{4}{2} - \frac{1}{4-1}}}$$

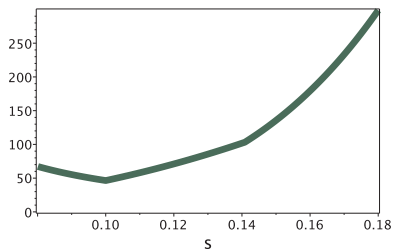
# Derivation: Multivariate

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In  $\text{Log} - \text{Log}$  space,  $R$  is piecewise linear.

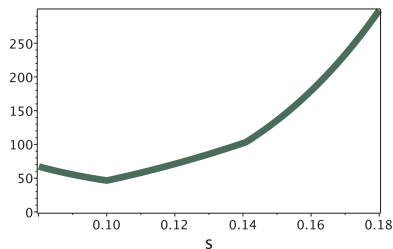
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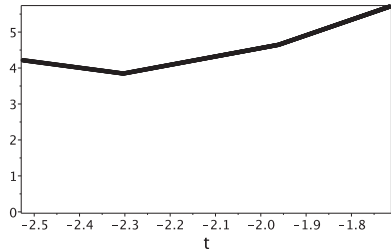


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$\text{Log} - \text{Log}$   
 $\longrightarrow$



# Derivation: Multivariate

In  $\text{Log} - \text{Log}$  space,  $R$  is piecewise linear.

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$$\begin{aligned}\log(R(s)) &= \log\left(\frac{\prod_{i=1}^n \|f_i^{(s)}\|_{\infty}^{M_i}}{s^{\frac{D}{2} - \frac{1}{D-1}}}\right) \\ &= \sum_{i=1}^n M_i \log(\|f_i^{(s)}\|_{\infty}) - \left(\frac{D}{2} - \frac{1}{D-1}\right) \log(s)\end{aligned}$$

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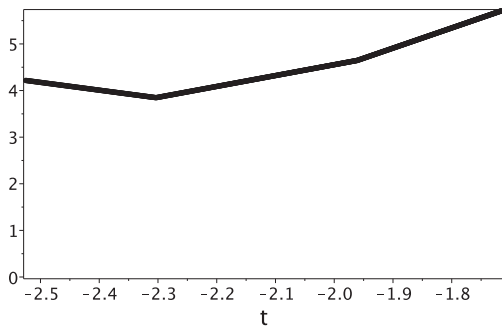
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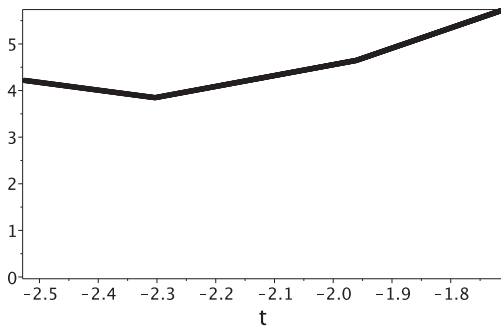
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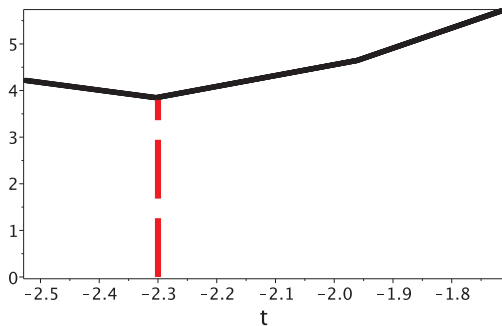


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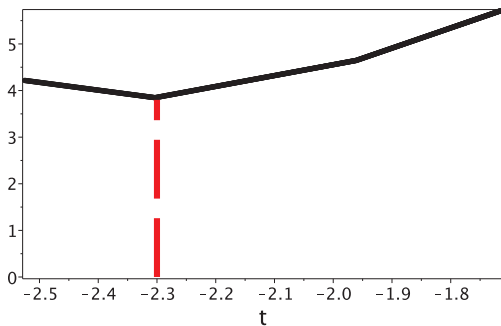
$$\log(R)(t) = \begin{cases} -5/3 \cdot t & t \leq -2.30 \\ 7/3 \cdot t + 9.21 & -2.30 \leq t \leq -1.96 \\ 13/3 \cdot t + 13.1 & -1.96 \leq t \leq 0.91 \\ 19/3 \cdot t + 11.3 & 0.91 \leq t \end{cases}$$

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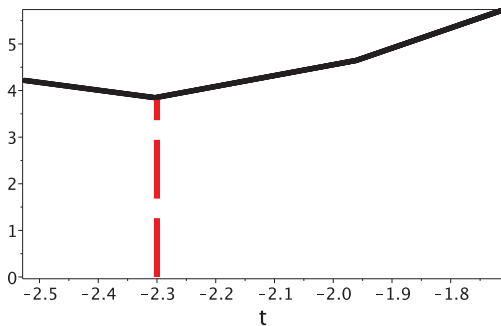
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Given a “nice” piece-wise description of  $\log(R)$ , finding the minimum is easy.

# Derivation: Multivariate

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Recall

$$\log(R) = \sum_{i=1}^n M_i \log(\|f_i^{(s)}\|_{\infty}) - \left( \frac{D}{2} - \frac{1}{D-1} \right) \log(s)$$

# Derivation: Multivariate

Recall

$$\log(R) = \sum_{i=1}^n M_i \log(\|f_i^{(s)}\|_{\infty}) - \left( \frac{D}{2} - \frac{1}{D-1} \right) \log(s)$$

We will find a piece-wise description of  $\log(\|f_i^{(s)}\|_{\infty})$  for  $i = 1, \dots, n$ , then combine them to find a piece-wise description for  $\log(R)$ .

# Derivation: Multivariate

# Derivation: Multivariate

We have

$$\log(\|f_1^{(s)}\|_\infty) = \log(\|x_1^2 + x_2^2 - 4sx_1 - 10sx_2 - 71s^2\|_\infty)$$

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We have

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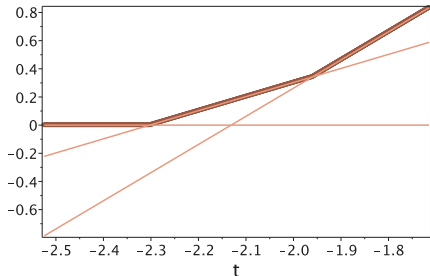
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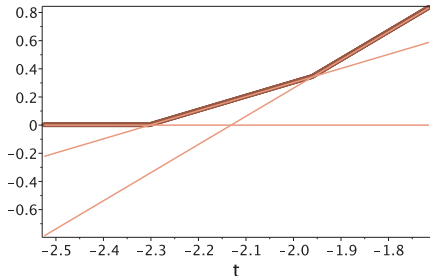
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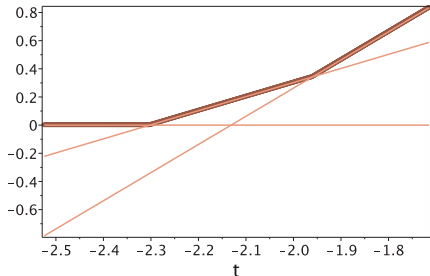
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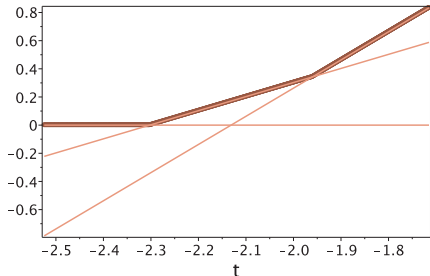
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## Theorem

*The minimizer of  $R(s)$  can be computed in  $\mathcal{O}(n \cdot m + d \log(d))$  algebraic operations.*

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- **Translation** invariance
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- **Lower bound** for discriminant
  - easy for integer coefficients, but they are pessimistic

*Thanks!*